



JUL 28 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/811,589	03/29/2004	Eric Tomasetti	IR-01-668 (BXTC 4021)

Mr. Joseph B. Barrett  
Baxter Healthcare Corporation  
One Baxter Parkway, DF3-2W  
Deerfield, IL 60015

CONFIRMATION NO. 2100  
FORMALITIES LETTER  
OC000000012906589

Date Mailed: 06/09/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

RECEIVED

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

JUN 14 2004

Corporate Patent Administration

*A copy of this notice **MUST** be returned with the reply.*

*He. Stephens*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



TR-6132 (BXTR 4021)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Tomasetti et al.  
Serial No. 10/811,589  
Filed March 29, 2004  
Confirmation No. 2100  
For METHOD FOR STERILE CONNECTION OF TUBING

July 27, 2004

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted," dated June 9, 2004, enclosed is applicants' signed Declaration and Power of Attorney in the above-referenced application. A copy of the Notice is returned herewith along with a Separate Paper identifying attorneys/agents to be designated of record.

Also enclosed is a check in payment of the surcharge in the amount of \$130.00.

An assignment by the inventor(s) to Baxter International, Inc. is being mailed today.

Respectfully submitted,

Kurt F. James, Reg. No. 33,716  
SENNIGER POWERS  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

KFJ/caa

Express Mail Label No. EL 998652516 US  
Mail Stop Missing Parts

**FEE TRANSMITTAL**

Application Number 10/811,589  
Filing Date March 29, 2004  
Confirmation No. 2100  
Inventor(s) Tomasetti et al.  
Attorney Docket Number TR-6132 (BXTR 4021)



**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.  
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.  
☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00  
☒ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 130.00

Kurt F. James July 27, 2004  
Kurt F. James, Reg. No. 33,716 Date

KFJ/caa

Express Mail Label No. EL 998652516 US